

# What are Cantor Spaces?

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# The Cantor Space, $2^{\mathbb{N}}$

$$2^{\mathbb{N}} := \{0, 1\}^{\mathbb{N}} = \{(a_n)_{n=1}^{\infty}; a_n \in \{0, 1\} \forall n\}.$$

We give it the product topology, so it is compact.

Basic open sets: for any  $w = w_0 w_1 \dots w_k$  finite word,

$$O_w := \{(a_n)_n; a_1 \dots a_k = w_1 \dots w_k\}.$$

The sets  $O_w$  are actually clopen.

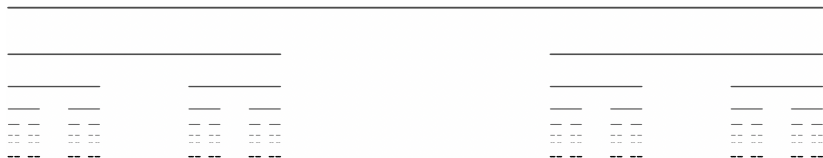
$$\begin{aligned} 2^{\mathbb{N}} &= O_0 \cup O_1 = O_{00} \cup O_{01} \cup O_{10} \cup O_{11} \\ &= O_{000} \cup O_{001} \cup O_{010} \cup O_{011} \cup O_{100} \cup O_{101} \cup O_{110} \cup O_{111} = \dots \end{aligned}$$

Points of  $2^{\mathbb{N}}$  can be expressed in terms of this topology:

$$2^{\mathbb{N}} \longleftrightarrow \left\{ \begin{array}{l} \text{Decreasing sequences } O_{w_1} \supseteq O_{w_2} \subseteq O_{w_3} \supseteq \dots \\ \text{With } w_n \text{ having length } n. \end{array} \right\}$$

# The Ternary Cantor Set, $\mathcal{C}$

$$\mathcal{C} := \left\{ \sum_{n=1}^{\infty} (2a_n)3^{-n}; a_n \in \{0, 1\} \forall n \right\} \subseteq [0, 1].$$



The ternary Cantor set also has a basis of clopen sets  $\{A_w; w \text{ finite word}\}$  (draw picture).

We also have a bijection

$$\mathcal{C} \longleftrightarrow \left\{ \begin{array}{l} \text{Decreasing sequences } A_{w_1} \supseteq A_{w_2} \subseteq A_{w_3} \supseteq \dots \\ \text{With } w_n \text{ having length } n. \end{array} \right\}$$

$2^{\mathbb{N}}$  and  $\mathcal{C}$  are homeomorphic via  $\bigcap_n A_{w_n} \mapsto \bigcap_n O_{w_n}$ .

# Brouwer's theorem (1910)

## Theorem (Brouwer, 1910)

*Let  $X \neq \emptyset$  be any compact, totally disconnected metric space without isolated points. Then  $X$  is homeomorphic to  $2^{\mathbb{N}}$ .*

## Sketch of proof.

As  $X$  is disconnected, we can divide it into two nonempty clopen subspaces  $A_0, A_1$ .

$A_0, A_1$  also are compact, totally disconnected without isolated points, so we can subdivide them into  $A_{00}, A_{01}, A_{10}, A_{11}$ .

Repeating this process infinitely, we obtain clopens  $A_w$  for each  $w$  finite  $\{0, 1\}$ -word.

One can construct in this manner a family  $(A_w)_w$  such that for each sequence  $a := (a_n)_n \in 2^{\mathbb{N}}$ , the intersection of the decreasing sequence  $A_{a_1} \supseteq A_{a_1 a_2} \supseteq A_{a_1 a_2 a_3} \dots$  is one point  $p_a$  (see next slide for details if interested).

The map  $p_a \mapsto a$  is then a homeomorphism from  $2^{\mathbb{N}}$  to  $X$ , because it is a continuous bijection from compact to Hausdorff. □

## Construction of the family $\{A_w\}_w$ .

Here are steps one can follow to prove that the sets  $A_w$  from the previous proof can be constructed in such a way that the decreasing sequences  $\bigcap_n A_{w_n}$  of clopens have intersection just one point:

1. If  $X$  is a compact, Hausdorff space, then connected components and quasi-components coincide in  $X$ .
2. A space  $X$  satisfying the hypotheses of Brouwer's theorem has a basis formed by clopen sets.
3. A space  $X$  satisfying the hypotheses of Brouwer's theorem has a countable basis  $(C_n)_n$  formed by clopen sets.
4. Construct the sets  $A_w$  such that, if  $w$  has length  $n$ , then either  $A_w \subseteq C_n$  or  $A_w \subseteq X \setminus C_n$ .

# Examples of Cantor Spaces

Let  $X \neq \emptyset$  be any compact, totally disconnected metric space without isolated points. Then  $X$  is homeomorphic to  $2^{\mathbb{N}}$ .

We say a topological space  $X$  is a *Cantor Space* if it is homeomorphic to  $2^{\mathbb{N}}$ . For example,

- ▶  $2^{\mathbb{N}}, \mathcal{C}$ .
- ▶ Countable products of finite discrete spaces\* (e.g.  $3^{\mathbb{N}}$ ).
- ▶  $\mathcal{C}^2 \subseteq [0, 1]^2$ .
- ▶ Countable products of Cantor spaces (e.g.  $\mathcal{C}^{\mathbb{N}}$ ).
- ▶  $2^{\mathbb{N}} \times X$ , where  $X$  is any compact, totally disconnected metric space.

# Continuous images of $2^{\mathbb{N}}$

## Theorem (Hausdorff-Alexandroff, 1927)

*Let  $X \neq \emptyset$  be a compact metric space. Then there is a surjective map  $f : 2^{\mathbb{N}} \rightarrow X$ .*

### Proof.

For  $n \in \mathbb{N}$  let  $F_n$  be a finite,  $\frac{1}{2^n}$ -dense subspace of  $X$ .

Let  $Y := \prod_n F_n = \{(p_n)_n; p_n \in F_n \text{ for all } n\}$ .  $Y$  is compact, totally disconnected.

Let  $Z = \{(p_n)_n \in Y; d(p_n, p_{n+1}) < \frac{1}{2^{n+1}} \forall n\}$ .  $Z$  is closed in  $Y$ , so it is compact, totally disconnected.

The map  $Z \rightarrow X; (p_n)_n \mapsto \lim_n p_n$  is surjective.

The projection  $2^{\mathbb{N}} \times Z \rightarrow Z$  is also surjective, and  $2^{\mathbb{N}} \times Z$  is a Cantor space, so we are done. □

# Space filling curves

## Theorem

Let  $A \subseteq \mathbb{R}^n$  compact, convex set. Then there is a surjective curve  $c : [0, 1] \rightarrow A$ .

## Proof sketch.

As  $A$  is compact, there is a surjective map  $f : \mathcal{C} \rightarrow A$ . We can extend it to a map  $\bar{f} : [0, 1] \rightarrow A$  by interpolating linearly in the connected components of  $[0, 1] \setminus \mathcal{C}$ . □

What topological spaces  $X$  have a surjective curve  $[0, 1] \rightarrow X$ ?

## Definition

A *Peano continuum* is a compact, connected, locally connected metrizable topological space  $X \neq \emptyset$ .

## Theorem (Hahn-Mazurkiewicz, 1920)

A Hausdorff topological space is a continuous image of  $[0, 1]$  iff it is a Peano continuum. (Also, any locally compact, connected, locally connected metrizable topological space  $X \neq \emptyset$  is an image of  $\mathbb{R}$ .)



For more nice consequences of the Hausdorff-Alexandroff theorem, see Yoav Benyamini's 'Applications of the universal surjectivity of the Cantor Set'.

# Subspaces of $2^{\mathbb{N}}$

## Theorem

*A Hausdorff space  $X$  is homeomorphic to some subspace of  $2^{\mathbb{N}}$  iff it has a countable base formed by clopen sets.*

## Sketch of proof.

Let  $(C_n)_n$  be the basis of  $X$  formed by clopen sets. To any point  $x \in X$  we can associate a  $\{0, 1\}$ -sequence  $a_x$  given by  $a_x(n) = 0$  if  $x \in C_n$  and  $a_x(n) = 1$  if  $x \notin C_n$ .

The map  $x \mapsto a_x$  is an imbedding of  $X$  into  $2^{\mathbb{N}}$ . □

Also:

- ▶ Any nonempty clopen subspace of  $2^{\mathbb{N}}$  is a Cantor space.
- ▶ Any nonempty open subspace of  $2^{\mathbb{N}}$  is either a Cantor space or homeomorphic to  $2^{\mathbb{N}} \setminus \{*\}$ .

# Homogeneity properties of Cantor Spaces

- ▶  $2^{\mathbb{N}}$  is a topological group, so it is homogeneous.
- ▶  $2^{\mathbb{N}}$  is  $n$ -homogeneous for all  $n$ .

A separable topological space  $X$  is countable dense homogeneous if for any two dense countable subsets  $E, D$  of  $X$  there is a homeomorphism  $f : X \rightarrow X$  with  $f(D) = E$ .

## Theorem

$2^{\mathbb{N}}$  is countable dense homogeneous. (Proof similar to Brouwer theorem.)

Stronger statement:  $(E_n)_n$  and  $(D_n)_n$  sequences of pairwise disjoint countable dense subsets of  $2^{\mathbb{N}}$ , then there is a homeomorphism  $2^{\mathbb{N}} \rightarrow 2^{\mathbb{N}}$  sending  $E_n$  to  $D_n$  for all  $n$ .

## Corollary (Sierpinski Theorem, 1920)

Any countable metric space  $X$  without isolated points is homeomorphic to  $\mathbb{Q}$ .

So  $\mathbb{Q} \cong \mathbb{Q} \cap [0, 1] \cong \mathbb{Q}^n \cong \mathbb{A}$ .

# The Baire space, $\mathbb{N}^{\mathbb{N}}$

It is homeomorphic to  $\mathbb{R} \setminus \mathbb{Q}$ .

## Theorem (Hausdorff, 1937)

*If a topological space  $X$  is completely metrizable, not locally compact at any point and has a countable basis of clopen sets, then it is homeomorphic to  $\mathbb{N}^{\mathbb{N}}$ .*

(Proof similar to Brouwer's theorem)

- ▶ A Hausdorff space  $X$  is homeomorphic to some subspace of  $\mathbb{N}^{\mathbb{N}}$  iff it has a countable base formed by clopen sets.
- ▶  $\mathbb{N}^{\mathbb{N}}$  is homeomorphic to any open nonempty subset of itself.
- ▶  $\mathbb{N}^{\mathbb{N}}$  is also countable dense homogeneous.
- ▶ Nice homeomorphism  $\mathbb{N}^{\mathbb{N}} \rightarrow (1, \infty) \setminus \mathbb{Q}$ :

$$(a_n)_n \mapsto a_1 + \frac{1}{a_2 + \frac{1}{a_3 + \frac{1}{a_4 + \dots}}}$$